



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Johnny JENG, et al.

Serial No.: Not Yet Assigned

Filed: Concurrently Herewith

For: TOOTHBRUSH WITH OPPOSITELY RECIPROCATING BRUSH HEADS

BOX: PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty to disclose, Applicant submits herewith copies of references listed in the attached Form PTO-1449, which may be material to the examination of this application.

All references submitted are in the English language or a translation and/or discussion is provided of at least of pertinent portions, except that no translation or discussion need be submitted if the foreign language reference was cited in a search report issued during examination of a foreign counterpart patent application (in which case a copy of the search report is enclosed).

Consideration of this Information Disclosure Statement is respectfully requested, since the

information provided herein may be material to the patentability of the subject application as defined in 37 C.F.R. §1.56. The submission of references with this Information Disclosure Statement should not be construed by the Examiner that a comprehensive search through the prior art was conducted; it is requested that the Examiner make such a comprehensive search.

The applicant requests that the references cited on the accompanying Form PTO-1449 made of record because, as checked off below, the following applies: .


☒ either (a) this submission precedes issuance of a first Office Action on the merits or (b) a Certification of Timely Filing Under 37 CFR 1.97(e) accompanies this submission and neither a final Office Action nor a Notice of Allowance has issued. No fee is due.

☐ neither a final Office Action nor a Notice of Allowance has issued and no Certification of Timely Filing Under 37 CFR 1.97(e) accompanies this submission. A late submission fee under 37 CFR 1.97(c) is due.

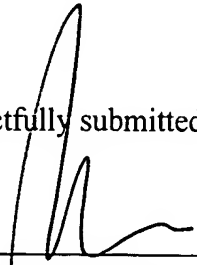
☐ either a final Office Action or a Notice of Allowance has issued. Petition is made for consideration of the accompanying references. A petition fee is due together with a Certification of Timely Filing Under 37 CFR 1.97(e).

If a fee is due as mentioned previously and has not been submitted in connection with an earlier filed Information Disclosure Statement, the fee is enclosed. Please charge any deficiency in fees to deposit account no. 03-2317.

If submission of the accompanying references is deemed untimely to be made of record for this application, however, it is requested that they be placed in the file and that the applicant be so informed.

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Date: January 3, 2002

Respectfully submitted,



Peter T. Cobrin, Esq.
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750 Lexington Avenue
New York, New York 10022
(212) 486-4000

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Johnny JENG
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	ATI-3.2.034/4175

Sheet 1 of 5

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant: Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		723,983		Homer Brooke	March 31, 1908	
		US 6,189,693 B1		Blaustein et al.	February 20, 2001	
		Des. 425,414		Baker et al.	May 23, 2000	
		1,420,388		Edward F. Schworm	June 20, 1922	
		1,620,330		Samuel E. Douglass	March 8, 1927	
		2,259,797		Maurice M. Cohen	October 21, 1941	
		2,911,660		Seymour Klemas et al.	November 10, 1959	
		3,195,537		John V. Blasi	July 20, 1965	
		3,220,039		Charles A. Dayton et al.	November 30, 1965	
		3,775,800		Marco Antonio Veneziani	December 4, 1973	
		3,848,336		John W. Copeland	November 19, 1974	
		4,203,431		Abura et al.	May 20, 1980	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office ³	Number	Kind Code (if known)				
			0173150		Braun Aktiengesellschaft	August 9, 1985		
			2 587 183		TRENTINI Gisèle Denise	September 13, 1985		
			DE 3428860A1		Gleich Anmelder	February 13, 1986		
			DE 3524586 A1		Weinert Wolfgang, Dr.	January 15, 1987		
			DE 3744630 A1		Gleich Anmelder	July 13, 1989		
			DE 4125168 A1		Gleich Anmelder	April 23, 1992		
			DE 4201873 C1		Boland et al.	May 27, 1993		
			WO 91/07116		KRESSNER Gerhard, et al.	May 30, 1991		
			WO 92/19125		DE GEYTER Pierre	November 12, 1992		

Examiner Signature

Date Considered

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		Number	Kind Code ² (if known)			
		4,827,550		Graham et al.	May 9, 1989	
		4,829,218		Alfred Bauer	May 9, 1989	
		4,914,376		Werner Meyer	April 3, 1990	
		4,989,287		Benjamin Scherer	February 5, 1991	
		5,070,567		Neta Holland	December 10, 1991	
		5,120,225		Noah Amit	June 9, 1992	
		5,170,525		Michael Cafaro	December 15, 1992	
		5,186,627		Amit et al.	February 16, 1993	
		5,274,870		Harry Stollman	January 4, 1994	
		5,289,604		Gerhard Kressner	March 1, 1994	
		5,341,534		Serbinski et al.	August 30, 1994	
		5,378,153		Giuliani et al.	January 3, 1995	

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		Number	Kind Code ² (if known)			
		5,467,495		Boland et al.	November 21, 1995	
		5,504,958		Karl Herzog	April 9, 1996	
		5,546,674		Lange et al.	August 20, 1996	
		5,577,285		Michael Drössler	November 26, 1996	
		5,590,434		Kiyoshi Imai	January 7, 1997	
		5,613,258		Hilfinger et al.	March 25, 1997	
		5,652,990		Driesen et al.	August 5, 1997	
		5,673,710		Schaefer et al.	October 7, 1997	
		5,709,233		Boland et al.	January 20, 1998	
		5,732,433		Göcking et al.	March 31, 1998	
		5,842,244		Hilfinger et al.	December 1, 1998	
		5,850,655		Göcking et al.	December 22, 1998	

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Examiner Initials ¹	Cite No. ¹					Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,862,558		Hilfinger et al.	January 26, 1999	
		5,867,856		Karl Herzog	February 9, 1999	
		5,893,715		Boland et al.	April 13, 1999	
		5,927,300		Boland et al.	July 27, 1999	
		5,943,723		Hilfinger et al.	August 31, 1999	
		5,974,613		Karl Herzog	November 2, 1999	
		5,974,615		Schwarz-Hartmann et al.	November 2, 1999	
		6,000,083		Blaustein et al.	December 14, 1999	
		6,009,589		Driesen et al.	January 4, 2000	
		6,021,538		Kressner et al.	February 8, 2000	
		6,059,106		Baker et al.	May 9, 2000	

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		Office ³	Number	Kind Code (if known)				
Examiner Signature						Date Considered		

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Sheet	5	of	5	Attorney Docket Number	ATI-3.2.034/4175
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		Number	Kind Code ² (if known)			
		6,102,700		Haczek et al.	August 15, 2000	
		6,126,008		Jon T. Cox	October 3, 2000	
		6,141,819		Driesen et al.	November 7, 2000	

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		Office ³	Number	Kind Code (if known)				

Examiner Signature

Date Considered

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

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Group/Art Unit	Not Yet Assigned
Attorney Docket No.	ATI-3.2.034/4175

USPTO
10/04/02
01/04/02

TOTAL AMOUNT OF PAYMENT (\$ 740.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: (03-2317)

Deposit Account Name: (COBRIN & GITTES)

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.272. ☒ Payment Enclosed:☒ Check☐ Money Order☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity

Small Entity

Code	(\$)	Code	(\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - Late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	Request for Reexamination	
112	920*	112	920*	Req. publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension within first month	
116	400	216	200	Extension within second month	
117	920	217	460	Extension within third month	
118	1,440	218	720	Extension within fourth month	
128	1,960	228	980	Extension within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	241	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submit Info. Disclosure Stmt	
581	40	581	40	Patent Assignment per property	\$0
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design appln.	

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
101	740	201	370	Utility filing fee	\$ 740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

\$ 740

2. EXTRA CLAIM FEES

			Extra Claim	Fee below	Fee Paid
Total Claims	4	-20 =	0 x	0	\$ 0
Indep. Claims	3	-3 =	0 x	0	\$ 0
Multiple Dependent					

** or number previously paid, if greater; for reissues, see below

Large Entity		Small Entity		Fee Description
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$0)

** or number previously paid, if greater; For Reissues, see above

*Reduced by Basic filing fee Paid

SUBTOTAL (3)

\$0

SUBMITTED BY

Name (Print Type)

Peter T. Cobrin

Registration Number

Complete (if applicable)

24,117

Telephone

(212) 486-4000

Signature

Date

January 4, 2002